	 	 	 _
Record Number			

UPDATE TO RISK ASSESSMENT

Updated by (signature):	Date:	
Input Obtained From:		
Updated with changes to question number Updated with no changes	er(s):	
Notes:		
Updated by (signature):	Dat	te:
Input Obtained From:		
Updated with changes to question number Updated with no changes	er(s):	
Notes:		
Updated by (signature):	Dat	te:
Input Obtained From:		
Updated with changes to question number Updated with no changes	er(s):	
Notes:		

RISK ASSESSMENT

Completed by (Signature and Title): Input Obtained From:

Date Completed:

This assessment tool is designed to do two things

- o Identify significant risks to be addressed in this person's ISP regarding their health; their safety; their financial security; and the safety of those around them. Significant risks are those that would bring great harm to the person if supports are not in place.
- o Inform support providers as to what support strategies are required to be in place in regards to each risk identified.

Instructions

- 1) This assessment should be completed within 45 calendar days prior to this person's first ISP meeting. It must be reviewed/updated annually before the Annual ISP Meeting and whenever new risks are identified or there are significant changes in the person's life.
- 2) There are three sections of questions: Behavioral, Safety/Financial, and Health/Medical.
- 3) Any response of yes indicates that this person either is or may be at risk, in which case the instructions given must be followed. These instructions include a team discussion to determine if there is any risk AND, if so, to determine if a written support is necessary. Record the points of discussion, describing the issue and the rationale for the decision in the note section for that question. For some items, there are additional instructions.
- 4) If team discussion indicates that risk does exist and support/intervention is needed, add risk to ISP and ensure that the appropriate written support document is developed.
- 5) Summarize on page 15 of the Risk Assessment the risks identified and associated written supports that need to be developed.

Updates to Risk Assessment

- 1) At a minimum, the Risk Assessment must be reviewed and updated within 45 calendar days prior to this person's ISP meeting each year. The *Update to Risk Assessment* form must be completed, with Support Coordinator signature, the date, and whether there were changes. In addition to documenting that the assessment has been reviewed, this form assists the reader in knowing which questions, if any, have had changes since the initial Risk Assessment was completed. The *Update to Risk Assessment* form should be filed on top of the Risk Assessment in the record.
- 2) Changes (additional or new information) can be written directly on the original page of the Risk Assessment OR on a copy of the original page with this revised page replacing the existing one in the medical record (i.e. If Page 3 is updated, remove the original page 3 from the medical record and place the revised page 3 in the medical record).

Evaluation

BEHAVIOR

This section of questions (1-15) relate to risks that may be present to this person as a result of their behavior or the status of their mental health. As you answer these next questions, be sure to include input from people who will be best able to answer these questions.

Dangerous Behavior

	Does this person		
1	Engage in aggressive behavior that results in the need for medical care?	Yes	No
2	Engage in self-injurious behavior that results in the need for medical	☐ Yes	No
	attention (not including pica behavior)?		
3	Engage in property destruction that could result in injury?	Yes	□No
4	attempt to or leave (or has left or attempted to leave) supervised settings and	☐ Yes	No
	is unsafe to do so?		
5	Engage or has engaged in pica?	Yes	☐ No
6	Engage or has engaged in illegal behavior?	Yes	□ No
7	Engage in unsafe social behavior (including unprotected sex)?	☐ Yes	□No
8	Engages or has engaged in behavior that is harmful to animals?	Yes	□ No
9	Use weapons or objects to injure/attempt to injure themselves or others?	Yes	□ No
10	Engage or has engaged in the unsafe use of flammable materials?	Yes	□ No
11	Use and/or abuse (or has used and/or abused) illegal drugs or alcohol?	Yes	□ No
12	Receive medication prescribed for a mental health or psychiatric condition	Yes	□ No
	that has been diagnosed by a licensed medical professional (psychotropic		
	medications) and engages in dangerous behaviors that are related to the		
	condition without the medication?		
13	Have or has had an important serious behavior issue not addressed above?	☐ Yes	□No
	Describe Issue:		
	to all \rightarrow Go to question 14.		
	to one or more \rightarrow follow the instructions below.		
	ave ISP team discussion to determine if there is any risk AND, if so, determine	if a	
	chavior Support Plan is necessary. Record the points of discussion,		
de	scribing the issue and the rationale for the decision.		
	Risk does not exist \rightarrow Go to question 14.		
	Risk does exist and intervention is needed → Add risk of <i>Dangerous Behavio</i>	or to ISP.	
	Ensure completion of Behavior Support Plan. Go to question 14.		
Notes			

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S 1	110	11	n e

Suicide			
	Does this person		
14	Have a history of suicidal ideation, suicidal attempts, gestures or	Yes	No
	threats?		
If no \rightarrow Go t	o question 15.		
If yes \rightarrow following	ow the instructions below.		
•	team discussion to determine if there is any risk AND, if so, determi	ne if a	
	otocol is necessary. Record the points of discussion, describing the i		
	ale for the decision.		
Risk d	oes not exist \rightarrow Go to question 15.		
	loes exist and intervention is needed \rightarrow Add risk of <i>Suicide</i> to ISP. 1	Ensure	
	etion of Provider Agency Protocol. Complete instruction below.		
	w existing evaluation by a qualified professional and follow their rec	commendation	ıs
	tain an evaluation from a qualified professional to determine the cur		
	ollow their recommendations. Go to question 15.		
Notes:			
Homicide			
	Does this person		_
15	Have a history of homicidal ideation, gestures or threats?	☐ Yes ☐	No
	o question 16.		
_	ow the instructions below.		
Have ISP	team discussion to determine if there is any risk AND, if so, determi	ne if a	
	cotocol is necessary. Record the points of discussion, describing the	issue	
and the ra	tionale for the decision.		
Risk d	oes not exist \rightarrow Go to question 16.		
Risk d	oes exist and intervention is needed → Add risk of Homicide to ISP.	Ensure	
compl	etion of Provider Agency Protocol. Go to question 16.		
Notes:			

SAFETY / FINANCIAL

This next set of questions (16-24) relate to the person's safety and to their finances. You will need to have people who are familiar with these issues available as you answer these questions.

Unsupervise	l Time				
4.6		_	7		157
16	Has this person been assessed as requiring any level of	L	Yes		No
TC >	supervision to remain safe?				
•	to question 17.				
If no \rightarrow go to	o question 19.				
	Does this person	-			
17	Remain at home without support for any length of time?	Т	Yes		No
18	Go away from home without support for any length of time?	Ť	Yes	T	No
If no to both	→ Go to question 19.				
	or both→ follow the instructions below.				
•	team discussion to determine if there is any risk AND, if so, determine	ne	if a writt	en	
Protocol	s necessary. Record the points of discussion, describing the issue and	l tl	ne rationa	ale	
for the de	cision.				
Risk	does not exist \rightarrow Go to question 19.				
Risk	does exist and intervention is needed \rightarrow Add risk of Safety Issues to Is	SP	. Ensure	e	
	letion Provider Agency Protocol. Protocol should include guidelines f				
this p	erson can be without support and describe procedures to follow when	th	at time		
has su	rpassed (away from home). Go to question 19.				
				·	
Notes:					

Safety in Home

	Does this person		
19	Need any assistance to adjust water temperature?	Yes	☐ No
20	Need any assistance to evacuate when a fire or smoke alarm sounds?	Yes	☐ No
21	Need any assistance to remain safe around household chemicals?	☐ Yes	☐ No
22	Have a risk of physical or sexual abuse?	☐ Yes	☐ No
23	Have an important, serious safety issue not addressed above?	☐ Yes	☐ No
	Describe:		

I .	Il \rightarrow Go to question 24 If yes to one or more \rightarrow follow the instructions ISP team discussion to determine if there is any risk AND, if so, determ			en		
I	col is necessary. Record the points of discussion, describing the issue ar					
for the	e decision.					
☐ R	isk does not exist \rightarrow Go to question 24.					
R	isk does exist and intervention is needed \rightarrow Add risk of <i>Safety Issues</i> to	ISF	P. Ensure			
	impletion of Provider Agency Protocol that describes safety supports ass					
	eded assistance (i.e. water temperature to prevent scalding, fire evacuation	ion/	response			,
pr	ocedures, use and storage of chemicals). Go to question 24.					
Notes:					_	
Tioles.						
	Finances					
24	Does this person	ТГ	V 200		<u> </u>	N.
24	Need any assistance to manage money/finances?	_ L	Yes			No
	Go to question 25.					
	follow the instructions below.		:6:44			
	ISP team discussion to determine if there is any risk AND, if so, determ					
I	col is necessary. Record the points of discussion, describing the issue are decision.	ia t	ne ranona	пе		
_	sk does not exist \rightarrow Go to question 25.					
	sk does not exist \rightarrow Go to question 23. Sk does exist and intervention is needed \rightarrow Add risk of <i>Financial Exploi</i>	tati	on to ISD			
	is used exist and intervention is needed \rightarrow Add risk of <i>Financial Exploi</i> insure completion of Provider Agency Protocol. Go to question 25.	ıaıı	on to isr	•		
	isure completion of Frovider Agency Frotocor. Go to question 25.					
Notes:						
11000.						
	HEALTH / MEDICAL					
This section	of questions (25-44) will look at risks to this person's health. When answering the	follo	wing mak	e sure	that 1	there are
	able who are familiar with this person's health issues. You may also need to refer t					
records) to a	answer with the greatest accuracy.					
a .						
Seizures	TI di					
25	Has this person	1	X 7	$\neg \vdash$	7 N T -	
25	Been diagnosed with seizures or epilepsy?	┼	<u> </u>	 	No	
26	Had a seizure in the past year?	 	_ Yes		No	
27	Had a change in seizure medication (type/dosage) in the past 6		Yes	_	No	
	months?			L		

6

If yes to o Have Is Protoco for the Ris	ne or more→ follow the instructions below. SP team discussion to determine if there is any risk AND, if so, determed is necessary. Record the points of discussion, describing the issue are decision. Sk does not exist → Go to question 28. Sk does exist and intervention is needed → Add risk of Seizures to ISP. Source completion of Provider Agency Protocol. Go to question 28.	nd the rationa	
Notes:			
D' L 4			
Diabetes	TT di		
•0	Has this person	1	T —
28	been diagnosed with diabetes?	Yes	∐ No
	o to question 29.		
	follow the instructions below.		
	SP team discussion to determine if there is any risk AND, if so, determ		
	ol is necessary. Record the points of discussion, describing the issue ar	nd the rationa	ale
for the	decision.		
☐ Ris	sk does not exist \rightarrow Go to question 29.		
Ris	sk does exist and intervention is needed → Add risk of <i>Diabetes</i> to ISP		
Ens	sure completion of Provider Agency Protocol. Go to question 29.		·
Notes:			
Aspiration	and Dehydration:		
29	Does somebody else put food or fluid into this person's mouth	☐ Yes	□ No
	(i.e. does somebody else feed this person?)		
	If No \rightarrow Go to question 35.		
	If Yes \rightarrow Go to question 30.		
	Does this person		
30	cough or choke while eating or drinking? (Do not include a rare	☐ Yes	□ No
	cough or choke, such as one a year ago)?		
31	lose food or fluid from mouth? (Include anyone who regularly	Yes	□ No
	drools.)		

32	regularly refuse food? (Do not answer yes if this person does not	Yes	□ No
	eat at some meals but eats well at other meals or if they dislike a		
	particular		
	food. Do answer yes if they won't eat for certain staff or they		
	don't like certain textures.)		
33	have chronic chest congestion, frequent pneumonia, rattling when	Yes	□ No
	breathing, persistent cough, or does this person chronically use		
	cough/asthma medication?		
34	regularly refuse liquids? (Do not answer yes if this person does	Yes	No
	not drink at certain times but drinks well at other times or if they		
	dislike a		
	particular drink. Do answer yes if they won't drink for certain		
	staff or they like drinks that are only thick or only thin.)		
If no to all \rightarrow	Go to question 35.		
If yes to one	or more→ follow the instructions below.		
	team discussion to determine if there is any risk AND, if so, determine	ne if a writter	1
Protocol i	s necessary. Record the points of discussion, describing the issue and	d the rational	e
for the de	cision.		
Risk	does not exist \rightarrow Go to question 35.		
Risk o	does exist and intervention is needed \rightarrow Add risk of Aspiration and L	<i>Dehyrdation</i> to	C
	Insure completion of Provider Agency Protocol to outline supports ne		
decrea	se Risk. Go to question 35. Note that step below needs to be taken.		
If a risk of A	spiration and Dehydration is identified, must get or have had an evaluation	uation of oral	motor skills.
This could be	e done by an Occupational Therapist or Speech/Language Pathologist	and might ir	volve a
swallowing e	evaluation.		
- O	nce evaluation is obtained, is dysphagia present?		
	\square Yes \rightarrow review protocols for possible changes in orders		
	\square No \rightarrow protocols may be discontinued and the risks removed from	m the plan.	
Notes:			
D.1. 1. 4			
Dehydration	Dogs this marrow		
25	Does this person	T 7	NI-
35	Need, or routinely use, assistance to get something to drink or to	∐ Yes	∐ No
	receive fluids? (Do not check if they will get their own drink		
	when no one else is around.)		

If yes → foll Have ISP Protocol i for the de Risk o	o question 36. ow the instructions below. team discussion to determine if there is any risk AND, if so, determine is necessary. Record the points of discussion, describing the issue and cision. does not exist → Go to question 36. does exist and intervention is needed → Add risk of <i>Dehydration</i> to It is completion of Provider Agency Protocol. Go to question 36.	d the rationale
Aspiration		
	Does this person	
36	have a diagnosis of gastro esophageal reflux disease (GERD)?	Yes No
37	complain of chest pain/heartburn or have small, frequent vomiting (especially after meals), or have unusual burping (burping that is very frequent or wet)?	☐ Yes ☐ No
If yes to one Must get of aspiration Risk of Risk of	→ Go to question 38. or both → follow the instructions below. or have evaluation for GERD and for risk of aspiration. An evaluation may entail just asking the doctor. does not exist → Go to question 38. loes exist and intervention is needed → Add risk of Aspiration ISP. completion of Provider Agency Protocol that describes associated suppose.	
Notes:		
Choking		
	Does this person	
38	Eat or drink too rapidly or stuff food into their mouth that may cause choking?	☐Yes ☐ No

•	follow the instructions below.		
	SP team discussion to determine if there is any risk AND, if so, determine		
	ol is necessary. Record the points of discussion, describing the issue an	d the rationa	ıle
	decision.		
	sk does not exist \rightarrow Go to question 39.		
	sk does exist and intervention is needed \rightarrow Add risk of <i>Dehydration</i> to		
	sure completion of Provider Agency Protocol that describes associated	supports. Go	to
que	estion 39.		
Notes:			
E-4 E			
Extreme F	ood Seeking / Liquid Seeking		
20	Does this name have system food scaling on liquid scaling	TOYON I	
39	Does this person have extreme food seeking or liquid seeking	Yes	No
If C	behaviors that may cause injury to them?		
	to to question 40.		
-	follow the instructions below.		
	SP team discussion to determine if there is any risk AND, if so, determine the second		
	ol is necessary. Record the points of discussion, describing the issue an	a the rationa	ue
	decision.		
	sk does not exist \rightarrow Go to question 40.		
	sk does exist and intervention is needed \rightarrow Add risk of <i>Injury from food</i>		
	ury from liquid seeking to ISP. Ensure completion of Provider Agency l	Protocol that	,
des	cribes associated health supports. Go to question 40.		
37			
Notes:			
Constinati	on		
Constipati			
40	Does this person	T Vac	□ N°
40	routinely takes bowel medications (not including fiber)?	Yes	No No
41	required a suppository or enema for constipation within the past	∐ Yes	☐ No
42	year?	 	
42	have trouble moving their bowels, complain of pain with bowel	☐ Yes	∐ No
	movements, or have bowel movements that are hard and small (or		

has had any of these in the past year)?

If no \rightarrow Go to question 39.

If no to all -	→ Go to question 43.
If yes to one	or more→ follow the instructions below.
•	team discussion to determine if there is any risk AND, if so, determine if a written
	is necessary. Record the points of discussion, describing the issue and the rationale
for the de	
_	
	does not exist \rightarrow Go to question 43.
	does exist and intervention is needed \rightarrow Add risk of <i>Constipation</i> to ISP. Ensure
_	letion of Provider Agency Protocol that describes associated health supports. Go
to que	estion 43.
Notes:	
Ostomy and	Jon Turk or
Ostomy and/	
	Does this person
43	Have an ostomy or tube (g-tube, catheter, colostomy, etc.)?
If no \rightarrow Go	to question 44.
If yes \rightarrow for	llow the instructions below.
	team discussion to determine if there is any risk AND, if so, determine if a written
	is necessary. Record the points of discussion, describing the issue and the rationale
for the de	
l —	
	does not exist \rightarrow Go to question 44.
	does exist and intervention is needed \rightarrow Add risk of <i>Ostomy</i> and/or <i>Tube</i> (record the
	to ISP. Ensure completion of Provider Agency Protocol that describes associated \
health	n supports. Go to question 44.
Notes:	
Other Health	Issues (e.g. life threatening allergy, sleep apnea, requires supplemental oxygen):
	Does this person
44	Have an important, serious health issue not addressed above (This Yes No
	should be a health issue that could cause a hospitalization or could
	be life threatening.)?

If no → Go to question 24. This section is complete. Be sure to complete the Risk Summary on page 12. If yes → Follow the instructions below. Have ISP team discussion to determine if there is any risk AND, if so, determine if a written Protocol is necessary. Record the points of discussion, describing the issue and the rationale for the decision. □ Risk does not exist → This section is complete. Be sure to complete the Risk Summary on page 12.						
Risk does exist and intervention is needed → Add risk of (record the type of risk) to ISP. Ensure completion of Provider Agency Protocol that describes associated health supports. This section is complete. Be sure to complete the Risk Summary on page 12.						
Notes:						
RISK SUMMARY Check below the risks and associated written support docu	iments identified for this person.					
Risks	Written Support Documents					
☐ Dangerous Behavior →	Behavior Support Plan to address behavioral risk(s)					
	Provider Agency Protocol to address behavioral risk(s)					
☐ Safety Issues →	Provider Agency Protocol to address safety/financial risk(s)					
☐ Financial Exploitation →	, , ,					
☐ Seizures →						
☐ Diabetes → ☐ Dehydration →	Provider Agency Protocol to address health/medical risk(s)					
\square Aspiration \rightarrow						
☐ Choking →						

Extreme Food Seeking / Liquid Seeking \rightarrow

Constipation \rightarrow

☐ Ostomy →	
\square Tube \rightarrow	
☐ Other → Explain:	

Aggression	Any action which is intended to inflict harm or injury upon another person such as striking them with an open hand or closed fist, kicking, biting, pulling hair, choking, pinching, or using an object as a weapon.
Aspiration	When food and/or liquids get into the breathing tubes and lungs. Large amounts cause a person to choke. Aspiration of even tiny amounts causes pneumonia and may cause death.
Behavior intervention	a procedure involving the manipulation (or modification/changing) of environmental events or conditions in order to cause a change in behavior.
Behavior Support Plan	A written document that describes the environmental changes, instruction and other intervention procedures that support providers will implement in order to create an effective support environment that promotes appropriate behavior and decreases problem behavior
Chronic chest congestion	Chest congestion is present most days for the past 4 months
Chronic use of medication	When medication is used in more than 2 of the past 3 months, or in more than 5 of the past 12 months.
Current evaluation	An evaluation is current if 1) the ISP team knows what the condition of the person was when the evaluation took place, AND 2) the condition of the person has not significantly changed since the evaluation. If questions remain, ask the person's primary doctor if the evaluation is current
Dangerous behavior	Any hazardous action or event that places the person at risk of being injured or victimized either by another person or by his or her own actions.
Dysphagia	The person does not have control over the muscles in their mouth and throat to safely move food and/or liquids of all textures from their lips to their stomach. This will result in food and/or liquids getting into the breathing tubes and lungs.
Environmental manipulation	The modification or changing of features or conditions of the environment.
Exploitation	An unjust or improper use of another person for one's own benefit or profit
Extreme food seeking	The person will, without proper supports, seek, grab, or stuff food in a manner that could cause harm to them. For example, for a person without teeth, it may mean that they will grab food that they cannot safely chew. For someone with Prader Willi syndrome, it may mean that they will get food from the garbage or the street.
Extreme liquid seeking	The person will, without proper supports, seek or take liquids that may cause harm to them. For example, a person may like coffee so much that without proper support, the person would grab the entire pot of coffee and try to drink from the pot, burning them self. Another person may need supervision because they are known to drink gallons of water directly from the faucet at a time.
Flammable materials	Any substance that is capable of being easily ignited and of burning quickly.
Functional assessment	A process for identifying the relationships between events in the environment and problem behaviors.
Gastro- esophageal reflux (GER)	When the stomach contents do not remain in the stomach but return to the esophagus, the mouth or the lungs.
History	includes all events in the person's past related to the question asked. This includes recent (immediate) past, as well as distant past.
Illegal	Any behavior that violates federal, state or community laws.
Isolation time	The removal of a person for a period of 30 minutes or more to a separate room from which

out	the exit is barred by staff, but not locked, and where there is continuous supervision by staff, for the purpose of modifying the person's behavior.
Ostomy/Tube	Any opening into the body created by surgery is an ostomy. It can be a
Ostomy/Tube	
	tracheostomy to help someone to breathe; a gastrostomy to assist with eating; a
	colostomy to assist with bowel movements; and an uretostomy to assist with urine,
	among others. The tube is the device placed in an ostomy or other opening in the
	body to assist with any of the above. This may include a g tube, a urinary catheter
	and a tube passed through the nose or mouth to the stomach (an NG tube), among others.
Physical restraint	Application or use of any manual method of restraint that restricts freedom of
	movement or the application or use of any physical or mechanical devices that
	restricts freedom of movement or normal access to one's body, including material or
	equipment attached to or adjacent to the person's body that they cannot easily
	remove. Holding a person in a therapeutic hold or other manner that restricts his or
	her movement constitutes manual physical restraint for that person. Examples of
	mechanical devices include cuffs, ankle straps, sheets or restraining shirts, arm
	splints, posey mittens and helmets. Excluded from this definition of physical
	restraint are physical guidance, gentle physical prompting techniques, escorting a
	person who is walking, soft ties used solely to prevent a medically ill person from
	removing intravenous tubes, indwelling catheters, prosthetic devices or assistive
Dia.	technology that are designed and used to increase the person's adaptive skills.
Pica	When the individual places non-edible objects in their mouth, which could result in choking
D	and/or swallowing. Common objects include paper clips, rocks, paper, string, and cloth.
Protocol	A document that describes how to reduce the risk through environmental changes,
	staff interactions and/or staff supports (without planned use of restrictive intervention
	to intervene in the person's behavior).
Psychotropic	Any drug prescribed to improve or change mood, mental status or behavior.
medications	
Qualified	A person, who is licensed, specializes or has expertise and practices in the
Professional	specialty field that is referenced.
Restrictive	An intervention which presents a significant risk of mental or physical harm to the
intervention	person and, therefore, requires additional safeguards. Restrictive interventions
	include seclusion, physical restraint (including the use of protective devices for the
	purpose of or with the intent of controlling unacceptable behavior), isolation time out
	and any combination thereof.
Seclusion	Isolating a person in a separate locked room for the purpose of controlling the
	person's behavior.
Self-injurious	Any behavior that presents an immediate risk of tissue damage to this person, or any
behavior	behavior that, if continued, presents a significant risk of tissue damage to this person
55114 V 101	in the near future. Self-injurious behavior often refers to any behavior that can cause
	tissue damage, such as bruises, redness and open wounds. The most common forms
	-
	of these behaviors include head banging, hand biting and excessive scratching or
	rubbing.
Umanfo assist	Dehavious that place others at risk of ambitation from this news an along this
Unsafe social	Behaviors that place others at risk of exploitation from this person or place this
behavior	person at risk for victimization from others. Examples may include inappropriate
	sexual behavior.

SUPPORT PROTOCOL for

(Name of person)

RISK IDENTIFIED:

CALL 911:
IF PERSON APPEARS GRAVELY ILL
YOU ARE CONCERNED ABOUT THEIR IMMEDIATE HEALTH AND SAFETY
• Other
1. Start emergency procedures as trained.
2. Notify Supervisor Support Coordinator Physician R.N.
Other
After person is stable, document in medical record.
Brief Description of problem and contributing factors:
Preventive Measures:
Clara & Computants to Woteh form
Signs & Symptoms to Watch for:
Interventions if any signs & symptoms are observed (what to do if problem occurs):
Call: Supervisor R.N. Physician Other
Supervisor Triysician Sunci
If no response to call with minutes, call
take to
Completed By: Date:
(Signature/title)